



Patent Application

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#BT  
12-17-03  
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TECH CENTER 1600/2900  
Attorney Docket No. DT-01003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the Patent Application of: **NEAL et al.**

Serial No. : **09/849,168**

Art Unit: Unknown

Filed: **May 4, 2001**

Examiner: Unknown

For: **APPARATUS FOR MERCHANDISE PRICE OPTIMIZATION**

Mail Stop: Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate Of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 26, 2002.

**September 26, 2003**

Signed: \_\_\_\_\_

Kang S. Lim

Dear Sirs:

**INFORMATION DISCLOSURE STATEMENT FILED**  
**UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)**

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**GROUP 3600**

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

  X   37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3)


months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.

- \_\_\_ 37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period specified in C.F.R. § 1.97(b), but before the mailing date of either (i) a final action under § 1.113 or, (ii) a notice of allowance under § 1.311.
- \_\_\_ A certification pursuant to 37 C.F.R. §1.97(e) or
- \_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198.

**LAW OFFICES OF KANG S. LIM**  
PMB 436  
3494 Camino Tassajara Road  
Danville, CA 94506  
Voice: (925) 570 8198  
Facsimile: (925) 736 3974

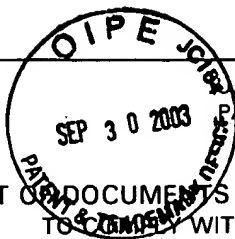
Respectfully submitted,



Kang S. Lim  
Attorney for Applicant(s)  
Reg. No. 37,491

**CUSTOMER NO. 36088**

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF DOCUMENTS CITED BY APPLICANT  
TO COMPLY WITH 37 C.F.R. 1.56ATTY. DOCKET NO.  
DT-0101SERIAL NO.  
09/849,168

APPLICANT

NEAL

FILING DATE  
5/4/01GROUP  
Unknown

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	CA		"PCT International Search Report", Application Number PCT/US02/14977, mailed May 5, 2003.					
	CB		DYER, ROBERT F. et al., "Case Studies in Marketing Decisions Using Expert Choice," Decision Support Software, 1988, Pages 2-7, 73-108.					

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.